

An introduction to securities and the capital markets



ONTARIO
SECURITIES
COMMISSION

Agenda

- About the OSC
- Securities regulation
- Financial literacy
- Financial education in schools

Ontario Securities Commission

- Ontario's capital markets regulator
- Self-funded Crown corporation

Our responsibilities include:

- Registering people and firms that sell securities or offer investment advice
- Reviewing and monitoring disclosure of public companies
- Developing rules and policy
- Enforcing rules and regulations

Enforcement at the OSC

We can:

- Seek reprimands, fines, suspensions or bans from the securities industry

We do not:

- Recover money for investors (in most cases)
- Provide legal or financial advice to investors



Investor
Education Fund

www.investorED.ca

- Free, unbiased online financial information and tools
- Research on financial literacy and learning
- Financial education in schools

Financial education in schools

- Taking stock in your future
 - Intermediate Guide
 - Senior Guide
 - Fair Play
- Online resources for teachers

www.investored.ca



Financial education in schools

- Funny money – basic money management with a unique approach



Financial education in schools

- Professional development for teachers
- Student competitions
- Outstanding educator award

Questions?